



A The Objects of the Dunhill Medical Trust

Under the terms of the Dunhill Medical Trust Constitution, the Trustees apply funds at their discretion:

- (a) For the furtherance of medical knowledge and research and the publication of the useful results thereof and the provision of medical care and facilities in such manner as the Trustees shall from time to time in their absolute discretion think fit; and
- (b) For research into the care of the elderly and the publication of the useful results thereof and the provision of accommodation and care of the elderly.

The Trustees of the Dunhill Medical Trust seek to support schemes (both large and small) which help the furtherance of medical knowledge and its dissemination, or its application, or which improve the environment in which older people live.

B Priorities for support

The number of major projects which can be supported is, of necessity, limited to the amount of income available for distribution. In the light of the demographic changes towards an increasing elderly population, the Trustees have therefore determined that the priorities for funding will be:

- care of older people;
- research into diseases and issues related to ageing;
- disabilities which predominantly affect older people;
- rehabilitation for older people.

Priority will also be given to applications which fall into the following categories:

- 'Cinderella' or 'unfashionable' projects (i.e. in fields which have been unduly neglected) where it is difficult to attract funding;
- pilot studies which could establish whether major funding is justified.

These priorities will be reviewed every three years (or more often if deemed appropriate by the Trustees), and may be changed in accordance to the Trustees' view of the most effective application of available funds.

C Principles

In awarding grants, the Trustees will apply the following principles:

C1 All grants

- (a) Applications can only be considered from organisations or groups which are charitable as defined by UK charity law. This includes UK registered charities and relevant exempt charities such as universities. Where deemed appropriate, applications from other not-for-profit organisations such as the NHS may be considered (having due regard to the exclusions listed below – see **D** below).
- (b) Applications from any geographical area within the UK are eligible for consideration and will be considered on merit alone.

PLEASE NOTE

This Policy should be read in conjunction with the specific guidelines for the grant being applied for

- GENERAL GRANTS: *guidelines for applicants*
- RESEARCH GRANTS: *guidelines for applicants*
- SERENDIPITY AWARDS: *guidelines for applicants*

- (c) As a general rule applicant organisations will be expected to have a policy of inclusiveness, equality and non-discrimination (i.e. applications should not be unduly restrictive).
- (d) All applications from previous grant holders will be considered by the Trustees on their own merits. Although the Trustees will have regard to the outcome of the previous grant, any new application will in no way receive preferential consideration.
- (e) 'Cinderella' or 'unfashionable' projects should be able to provide evidence as to why funding is difficult to obtain.
- (f) Applications for grants in medical areas which are already supported by large dedicated charities (e.g. cancer, heart disease) will not normally be considered.
- (g) DMT will not normally support applications from large national charities (i.e. those with an annual income in excess of £10m or with £100m assets) or charities dedicated to issues deemed by the Trustees to be already well funded with the UK (e.g. cancer, heart disease).
- (h) DMT will willingly work in partnership with other organisations to fund initiatives beyond the financial scope of a single organisation.

C2 Research and research-related grants

In addition to the above, the following principles will apply to research and research-related grants:

(i) Priority will be given to:

- clinical and applied research;
- public health research;
- health services research;
- research carried out on a multidisciplinary basis;
- activities that will expand research capacity in the above areas.

Basic science will not be considered, except in exceptional circumstances where it can be clearly demonstrated that the research has the potential to be translatable into effective clinical practice within a reasonable time frame (i.e. approximately five years). Clinical research that places emphasis on the patient or care giver perspective is encouraged.

- (j) All research and research-related applications will be subject to rigorous peer review and grants awarded on the basis of their scientific quality and relevance to DMT's research strategy. The opinion of appropriate external referees will be sought, in addition to scrutiny of applications by the Grants & Research Committee (which includes both scientifically qualified Trustees and external advisers with expertise relevant to DMT's priority areas).
- (k) Research will normally be supported directly with the institution in which the research is carried out, rather than through a third party (such as a fundraising charity supporting research), although matched funding arrangements may be considered.
- (l) The Trustees will only support the use of protected animals in research where no viable alternative exists, and the applicant must have regard to animal welfare and advances in the refinement, replacement and reduction of animal use.
- (m) As a charity, DMT will not pay the Full Economic Costs (fECs) of research:
 - Directly Incurred Costs WILL be met;
 - Nationally agreed pay awards WILL be met (providing that an estimate for these is included in the costs when a grant application is submitted);
 - some Directly Allocated Costs MAY be met (providing that full justification is included in the grant application) and will be considered on a case-by-case basis;
 - DMT WILL NOT contribute towards Indirect Costs underpinning research.

D Exclusions

The Trustees will not normally approve the use of Dunhill Medical Trust funds for:

- (a) organisations based outside the United Kingdom or whose work primarily benefits people outside the United Kingdom;
- (b) sponsorship of individuals;

- (c) sponsorship of charitable events;
- (d) providing clinical services or equipment that, in their opinion, would be more appropriately be provided by the National Health Service or other statutory bodies;
- (e) hospices (revenue or capital costs); (see note ●)
- (f) travel or conference fees (except where these items are an integral part of a project);
- (g) new or replacement vehicles (unless an integral part of a community-based development);
- (h) general maintenance.

note

- *DMT is keen to encourage research to improve end of life care. Research studies undertaken within a hospice setting **will be** considered for support.*

E Grant categories

To be eligible for consideration, applications should fall within one of the following categories:

- Furtherance of medical knowledge and research
- Provision of medical facilities
- Provision of accommodation for older people
- Services (provision of medical care/care for older people)

E1 Furtherance of medical knowledge and research

The Trustees will consider applications in respect of:

- (a) the furtherance of medical knowledge and innovative peer-reviewed medical research, especially research that is concerned with older people and the environments in which they live and/or are cared for, and/or diseases and issues of ageing;
- (b) initiatives aimed at improving patient care or public health;
- (c) pilot studies which could establish whether major funding is justified.

In supporting research, DMT explicitly includes activities to expand the research capacity in this area through research fellowships, studentships and programmes.

note

- *Research Fellowships will normally be supported through partnership arrangements with appropriate organisations (with the exception of the new Research Training Fellowship Scheme to be launched during 2009/10).*
- *Exceptionally, DMT may provide funding for the endowment of innovative academic posts within the priority areas for support. Where such an endowment is established, it may only be used for the purpose given and in the event of the endowed academic post being discontinued, the host institution will be required to return the remaining capital to DMT. Additional support will be provided to the appointee for a period of 5 years and may be provided thereafter at the discretion of the Trustees. It is however expected that after this initial period the appointee will have become sufficiently established to be able to secure competitive funding at a national/international level.*
- *DMT will not undertake the role of research sponsor (as required by the NHS Research Governance Framework) and requires formal confirmation that the research has a formally approved and explicitly stated research sponsor before a grant can be awarded (see Terms & Conditions for Grants).*
- *Where the research supported has the potential to produce intellectual property which may have commercial potential, the institution in which the research takes place will be required to enter into an Intellectual Property Agreement with DMT (see Terms & Conditions for Grants).*

E2 Provision of medical facilities

The Trustees will consider applications in respect of the provision of built environments and/or specific pieces of equipment or furnishings which can be used for the medical care of individuals.

note

- *Priority will be given to medical facilities which are focused on older people and where it has not been possible to obtain the necessary funding from main stream organisations (e.g. NHS, Social Services, HEFCE).*
- *DMT will expect the beneficiary organisation to give a written commitment to bear the revenue costs of the environment and/or equipment, including its maintenance and staffing.*

E3 Provision of accommodation for older people

The Trustees will consider applications for funding (either in part of in full) innovatory accommodation for older people to enhance and maintain their health, well being and independence.

E4 Services (provision of medical care/care for older people)

The Trustees will consider applications related to the initiation of innovatory care services which can become self-sustaining within a planned period.

note

- *Priority will be given to services which are focused on older people, enhance existing mainstream services and which are not currently funded by statutory organisations elsewhere in the UK.*
- *DMT will expect the beneficiary organisation to have a robust business plan to create the ongoing revenue to maintain the service as the charitable funding diminishes.*
- *DMT will expect grant holders to have processes in place to ensure minimum disruption of care for people who are the beneficiaries of charitable grants in relation to services.*

F Major initiatives

The Dunhill Medical Trust is committed to funding innovatory systems (people, environments, activities etc.) which take a body of research and knowledge and apply it. From time to time and dependent upon availability of resources, the Trustees may also consider supporting major initiatives (i.e. in excess of £1m) which relate particularly well to this strategic objective.

note

- *In considering such large scale applications, each component will be taken on its own merit (e.g. research, accommodation, care facility and service) but the whole application will be judged in total as a single entity.*
- *In most cases it is expected that these bids would not get funding initially from mainstream organisations but would do so once set up and running efficiently.*

G Types of grant

G1 Research and research-related grants

Within the grant category **E1** (listed above), the following types of RESEARCH and RESEARCH-RELATED grants are available:

Type of grant	time period	notes
Programme grants	3 years or more	–
Project grants	3 years maximum	–
Joint Research Fellowships	3 years maximum	<i>administered through partner organisations, Fellows expected to undertake higher degree (e.g. MD, PhD)</i>
Research Training Fellowships	3 years maximum (full time) 4 years maximum (part time)	to be launched 2009/10, Training Fellows expected to undertake higher degree (e.g. MPhil, PhD, MRes)
Serendipity Awards	18 months to 3 years maximum	<i>proof of concept only, special guidelines apply</i>
Building grants	one-off	–
Equipment grants	one-off	–
Endowment of academic posts	one-off capital sum	<i>awarded only in exceptional circumstances</i>

G2 General grants

Within the grant categories **E2–E4** and **F** (listed above), the following types of GENERAL GRANT are available:

Type of grant	time period	notes
Building grants	one-off	–
Equipment grants	one-off	–
Core costs/staffing grants	one-off, or 3 years maximum	includes pump-priming for new developments, excludes long-term support – funding for staffing normally provided on a decreasing % over period of grant
Core grant for major initiatives	3 years or more	<i>awarded only in exceptional circumstances</i>
Small grants for £10k or less:		
Bridging funding	one-off up to a maximum of two consecutive years	
Start-up funding, <i>for new developments only</i>	one-off up to a maximum of three consecutive years	

H Grant application process

Full details of the grant application process can be downloaded from the DMT website / *policies and documents*. Applicants are strongly advised to read the appropriate guidelines prior to submitting an outline application.

- General grants: guidelines for applicants
- Research grants: guidelines for applicants
- Serendipity Awards: guidelines for applicants.
- Research Training Fellowships: guidelines for applicants (*will be available after launch in Autumn 2009/10*)

I Terms and Conditions for Grants

The terms and conditions which apply to grants made by DMT are available in a separate document (see Terms & Conditions for Grants). Before a grant offer can be made, applicants must undertake to comply with the Grant Terms & Conditions and agree to be bound by them and provide in writing to the Trust certain information and assurances, details of which may be found in the document referred to above.

J Monitoring and audit

- (a) It is the policy of the Trustees to monitor all grants awarded. To this end, before a grant can be confirmed, conditions will be stipulated appropriate to work to be carried out and progress will be assessed against agreed targets and/or milestones. Payment of subsequent grant instalments is dependent on satisfactory progress having been demonstrated and the Trustees reserve the right to withdraw the grant on consideration of progress reports. Failure to submit reports on time will also jeopardise the continuation of the Trust's support. In addition to annual reports detailing progress against agreed targets, grant holders will be expected to provide:
- a signed statement of how their Dunhill Medical Trust monies have been spent for the year;
 - details (where appropriate) of any other funds applied to the same project.
- (b) Prior to consideration by the Grants & Research Committee, organizations submitting a general (i.e. non-research) application may expect to receive an assessment visit by a DMT representative.
- (c) Monitoring visits by representatives of the Dunhill Medical Trust may be expected at any stage during the period of a grant.
- (d) Grant holders are required to provide copies of any published articles, papers or other outputs which may result from the project supported.
- (e) The Trustees also expect to receive copies of any published articles, papers or other outputs which may result from the project.
- (f) Following the conclusion of the project, the grant holder will be expected to submit a final report, normally within 3 months of the end of the grant, detailing fully the results and outputs from the project. The grant holder should inform the Trust of any extenuating circumstances whereby the submission of final report is delayed, to allow a mutually acceptable date for submission to be agreed.
- (g) Major initiatives, including the endowment of professorial and other senior academic posts, will be subject to special monitoring and reporting arrangements.
- (h) DMT's grant making programmes will be subject to audit processes to assess their effectiveness and to help inform future grant making strategy.
- (i) Grant holders will be expected to co-operate with any request for information/ completion of questionnaires etc. to assist with DMT's internal auditing of grant programmes and to comply with Charity Commission and/or statutory audit requirements.

K Availability of funding

As with other charitable trusts, the Dunhill Medical Trust always received far more applications than it has funds to support. Even if a project fits within the criteria and priorities of the Trust and a detailed assessment has been made, the Trust may still be unable to provide a grant. It should also be noted that Dunhill Medical Trust funds may have been committed for some time in advance.